

# Chemical localization

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Analysis of experimental data shows that the metal-insulator transition is possible in materials composed of atoms of only metallic elements. Such a transition may occur in spite of the high concentration of valence electrons. It requires stable atomic configurations to act as deep potential traps absorbing dozens of valence electrons. This means in essence that bulk metallic space transforms into an assembly of identical quantum dots. Depending on the parameters, such a material either does contain delocalized electrons (metal) or does not contain such electrons (insulator). The degree of disorder is one of these parameters. Two types of substances with such properties are discussed: liquid binary alloys with both components being metallic, and thermodynamically stable quasicrystals.

## I. INTRODUCTION

The band theory of metals with its concept of energy-band overlap describes rather than explains the metallic properties of matter. The fundamental reason for the existence of the metallic state is that in an isolated metal atom the valence electrons occupy energy levels close to the upper edge of the potential well, so that in the condensed state any perturbation introduced by neighboring metal atoms leads to delocalization of the valence electrons. From this viewpoint, the grouping chemical elements into metals and metalloids is caused by the structure of the atoms; metals are in the lower left corner of the Periodic Table, and the boundary between metals and metalloids, which is a diagonal of the Periodic Table, is blurred and extremely conventional. The transport properties of chemical substances and substances that are a mixture of metal and metalloid atoms depend of various factors. By selecting one of these factors as the control parameter we can initiate a metal-insulator transition.

The most common model describing a metal-insulator transition is the Anderson model [1], in which disorder is the cause of the transition. The model examines a periodic lattice of rectangular wells of different depths. The energy levels in the wells are within an interval of values  $W$ , and the level density in this interval is assumed constant. Thanks to the tails of the wave functions  $\exp(-r/\lambda)$  there is an overlap of the wave functions of electrons localized on neighboring wells. If the distance between the neighboring wells  $r_{12}$ , is much larger than Bohr radius  $\lambda$ , i.e. if  $r_{12} \gg \lambda$ , the overlap integral

$$J \sim \int \psi_1^* \hat{H} \psi_2 d^3r \sim J_0 \exp(-r_{12}/\lambda) \quad (1)$$

is small, with the smallness determined by the factor  $\exp(-r_{12}/\lambda)$ .

Two limits are possible here. Each electron may occupy its own well — this is the case for very deep but different wells. On the other hand, all electrons may be delocalized, so that any electron may find itself in any well. For instance, if all the wells are the same or almost the same, the electron wave functions are simply Bloch waves.

The ratio of two energies, the width of the band  $W$  and the overlap integral  $J$ , acts as a parameter in this problem. What Anderson stated was that for delocalized (or extended) states to emerge, i.e. for metallic conduction to set in, the following condition must be met:

$$\frac{J}{W} \geq \left( \frac{J}{W} \right)_{\text{crit}}. \quad (2)$$

When the ratio  $J/W$  is critical, delocalized states appear in the center of the band at  $\epsilon = 0$ ; a further increase in  $J/W$  leads to a gradual ‘thickening’ of the layer of delocalized states.

Plugging the estimate (1) for the overlap integral into (2) and replacing  $r_{12}$  with the average distance between the centers,  $n^{-1/3}$ , we arrive at the following criterion for a transition to occur:

$$\lambda n^{1/3} = -(\ln c_a W/J_0)^{-1}, \quad c_a = \left( \frac{J}{W} \right)_{\text{crit}}^{-1}. \quad (3)$$

We select  $W$  as the measure of disorder and consider the  $(W, n)$  plane. Let us assume that the Bohr radius  $\lambda$  is a constant. The disorder introduced into the system by atomic displacements has an upper limit. The limit is reached when there is no correlation between the positions of the atoms (we denote it by  $W_{\text{max}}$ ). In this limit the plane is reduced to a strip (see Fig. 1). The solid curve within the strip is the transition curve (3), with insulator

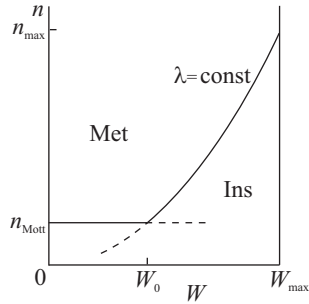


FIG. 1: Diagram illustrating the possible existence of an upper limit  $n_{\text{max}}$  for electron concentrations that allow for an Anderson transition as disorder increases.

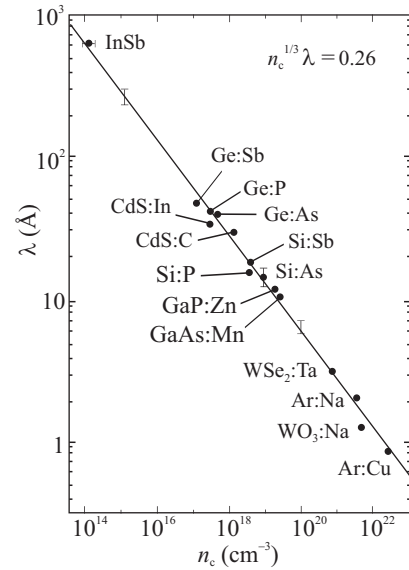


FIG. 2: Correlation between the critical carrier concentration  $n_c$  and the effective Bohr radius  $\lambda$  for the metal-insulator transitions that took place in 15 different materials [3]. For all materials whose data were used in constructing this diagram, the values of  $\lambda$  and  $n_c$  were determined independently in different experiments.

states to the right of the curve and metal states, to the left. The value  $n_{\text{max}}$  corresponds to the disorder  $W_{\text{max}}$  on the transition curve. But what are the electron concentrations  $n$  in real metals and alloys compared to  $n_{\text{max}}$ ? If  $n > n_{\text{max}}$ , an Anderson transition cannot be initiated no matter how great the disorder. Of course,  $W$  can be considered a quantitative measure of disorder only very conditionally. Hence the diagram in Fig. 1 is only an illustration. Nevertheless, the question exists and only experiments will provide an answer.

Not only disorder but also the electron-electron interaction may serve as the driving force behind a metal-insulator transition. A transition initiated by such interaction is a Mott transition [2]. What makes it so different from an Anderson transition is that it occurs at a fixed degree of disorder. When the number of metal atoms is very small, even if we were to arrange them inside an insulator to form a superlattice, so that there is no disorder, the substance still remains an insulator. Actually, it is impossible to vary the electron concentration  $n$  and, at the same, to keep the amplitude and the characteristic lengths of the random potential constant; it is also impossible to vary the parameters of the random potential and keep the concentration  $n$  constant. Hence usually it is impossible to distinguish between an Anderson transition and a Mott transition. However, the fact that there are two possible reasons for metal-insulator transitions should never be ignored.

The criterion of a Mott transition can easily be written in a form similar to (3):

$$\lambda n^{1/3} = -(\ln c_m U / J_0)^{-1}, \quad (4)$$

the only difference being that instead of  $W$  we have the Hubbard energy  $U$ , which describes the electrostatic repulsion of two electrons localized at a single center, and instead of  $c_a$  we have a different numerical constant  $c_m$ . The transition curve (4) is represented in the diagram in Fig. 1 by a straight horizontal line, and the smaller the value of  $\lambda$  the higher the line. The two lines, (3) and (4), intersect at the point  $W_0 = (c_m / c_a) U$ . As long as the disorder is large ( $W > W_0$ ), the metal-insulator transition is controlled by it and occurs along the curve (3). Small disorder ( $W < W_0$ ) does not play any role since localization occurs is caused by the electron-electron interaction at concentration  $n$  higher than those that follow from (3).

The metal-insulator transition has been realized in dozens of experiments. But all these experiments involved systems in which the metal atoms were diluted by nonmetal atoms, which are not inclined to provide electrons for the general ‘pool’ (see Fig. 2, which has been taken from Ref. [3]). As techniques for fabricating amorphous metals (completely disordered materials based on metal alloys) developed, it seemed that metal-insulator transition would be discovered in them. However, even with the enormous diversity of such alloys, their resistivity never exceeds  $\rho^* \approx 300 \div 400 \mu\Omega \cdot \text{cm}$  [4].

For a given concentration  $n$  of carriers in the metal,  $n = k_F^3/3\pi^2$ , maximum resistance occurs when the mean free path  $l$  is at its minimum  $l_{\min}$ , which is expressed in terms of the Fermi wave vector  $k_F$  as follows:  $l_{\min} \approx k_F^{-1}$ . Then, to within a factor of order unity,

$$\rho = \frac{\hbar k_F}{ne^2 l} \simeq \frac{\hbar}{e^2} k_F^{-1} (k_F l)^{-1} \lesssim \rho^* \equiv \frac{\hbar}{e^2} k_F^{-1} = \frac{\hbar}{e^2} n^{-1/3}. \quad (5)$$

Assuming that the average atomic separation  $a$  in a condensed medium is approximately  $3 \text{ \AA}$ , we can introduce the electron concentration in a standard metal

$$n^* = a^{-3} \approx 4 \cdot 10^{22} \text{ cm}^{-3}, \quad (6)$$

for which with a mean free path  $l$  of order of the carrier separation  $n^{-1/3}$  and approximately equal to the de Broglie wavelength  $k_F^{-1}$ ,

$$\rho^* \approx \frac{\hbar}{e^2} n^{-1/3} \approx (200 \div 400) \mu\Omega \cdot \text{cm}. \quad (7)$$

The maximum resistivity  $\rho^*$  of a metal with such a carrier concentration is of order of the resistivity observed in amorphous materials. It occurs that in condensed media consisting only of metal atoms (they are called metal alloys) a rise in disorder does not by itself lead to localization. By introducing maximum disorder into the alloy we only bring it closer to the brink of localization. For a transition to occur, a fraction of the metal atoms must be replaced by metalloid atoms, which drives the concentration  $n$  of the delocalized electrons down to below of  $n_{\max}$ .

Injection of metalloid atoms can prove to be twice as effective in the sense that the concentration of metal atoms does not always uniquely determine the concentration  $n$  of the delocalized or potentially delocalizable electrons. If the metal and metalloid atoms can form stable chemical molecules, metal electrons enter the chemical bonds: from the shallow potential well of a metal atom they go to a much deeper potential well of the molecule and, therefore, remain localized, notwithstanding the surroundings of the molecule. Hence the effective electron concentration  $n$ , which affects the position of the material on the metal-insulator phase diagram, decreases even more due to the emergence of chemical bonds.

Bearing in mind the tying-up of a fraction of the potentially free valence electrons into chemical bonds, we can formulate the following question: *Is there a way to build deep potential wells using only metal atoms, which would transform a material in which there are no metalloid atoms into an insulator with an electron concentration (6) of a standard metal?* The experimental data discussed in the present paper shows that this is possible.

## II. INTERMETALLIC COMPOUNDS IN TWO-COMPONENT MELTS

For a long time it has been known that the resistivity of a liquid melt of two very good metals may change severalfold, even by a factor of 10, depending on the relative concentration of the two components, and reach its maximum at a certain rational ratio of the atomic concentrations, such as 1:1 or 1:3 or 1:4 [5, 6]. To gather such data one must know how to measure the resistivity at a fixed temperature as a function of the alloy component concentration. A description of the respective experimental facilities can be found in Refs. [7, 8].

Figure 3 shows the results of measurements of the resistivity of Na-Pb melts at  $725^\circ\text{C}$  done by Calaway and Sabounji [8]. Clearly, the concentration ratio Na:Pb $\sim$ 4:1 is preferred. An addition of 20% of lead increases the resistivity compared to that of pure Na by a factor of about 20. Here the peak value of resistivity is of order of the maximum possible value  $\rho^*$  of a standard metal. The Li-Pb system behaves in a similar manner.

Replacing Li and Na with a heavier alkali metal, K, Rn, or Cs, changes the resistivity vs. concentration diagram significantly. For example, Fig. 4 shows the diagram for the Rb-Pb system taken from Ref. [9]. The peak has shifted to another rational ratio of the component concentrations Rb:Pb $\sim$ 1:1, while the peak value of the resistivity increased severalfold. Now this value exceeds the maximum resistivity (7) of a standard metal (6) by a factor of 10. The survey diagrams in Fig. 5 show that melts of alkali metals with another tetravalent metal, tin, behave in the same manner [10]. The highest resistivity values are realized in Cs-based melts.

The high values of resistivity mean that near the respective concentration ratios the melt ceases to be a standard metal in the sense that a fraction of carriers in it are bound in some manner and the remaining effective concentration  $n_{\text{eff}} \ll 4 \cdot 10^{22} \text{ cm}^{-3}$  (cf. (6)). Indeed, for the Rb-Pb system, the value  $\rho \approx 2200 \mu\Omega \cdot \text{cm}$  is 10 times greater than the maximum value for a standard metal,  $\rho^* \approx (200 \div 400) \mu\Omega \cdot \text{cm}$ . According to equations (5) and (7), this implies that the number of free carriers in the melt is no greater than  $10^{-2} \div 10^{-3}$  of the ordinary number of carriers in a standard metal.

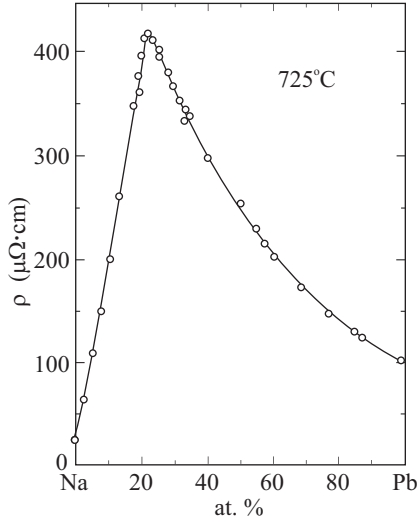


FIG. 3: Resistivity of melts of the Na-Pb system at 725°C. The peak value is reached at a lead concentration  $C_{\text{Pb}}=20\%$ , where stable  $\text{PbNa}_4$  configurations emerge [8].

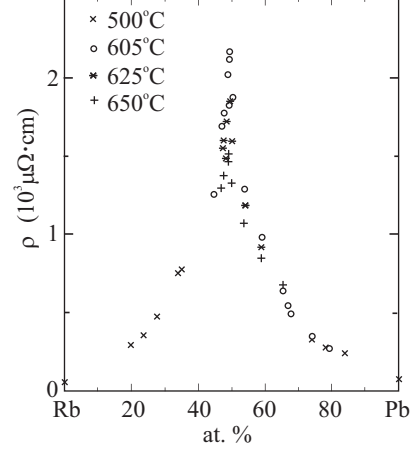


FIG. 4: Resistivity of melts of the Rb-Pb system at different temperatures [9]. The peak value is reached at a lead concentration  $C_{\text{Pb}}=50\%$ ; the  $\text{Pb}_4\text{Rb}_4$  configurations are stable.

From the rational component-concentration ratios it follows that the increase in resistivity is due to formation of compounds within which most electrons prove to be locked. The position of the peak in resistivity in Li- and Na-based melts unquestionably points to the existence of  $\text{Na}_4\text{Pb}$  and  $\text{Li}_4\text{Pb}$  compounds in the melts. The five atoms comprising such a compound have eight electrons in their valence shells. Apparently, they form a single stable outer shell of the  $\text{Pb}^{4-}$  ion, while four alkali ions held together by Coulomb forces surround that ion. The four ions form a barrier thanks to which the eight electrons in the outer shell of Pb are kept within this electrically neutral atomic configuration and do not participate in conduction (Fig. 6a).

An increase in the size of the alkali atoms leads to a qualitative change of the forming compounds, with the ability of these compounds to act as electron traps gaining in strength. Such structures are well known and are called Zintl's structural units (named after the German chemist who in the 1930s discovered the rule of formation of ionic configurations [11]). If an electron goes from an alkali atom to the lead atom, the  $\text{Pb}^{1-}$  ion will have five electrons in the outer shell, the same as in the P or As atoms. As is known, these two elements form in the gaseous phase

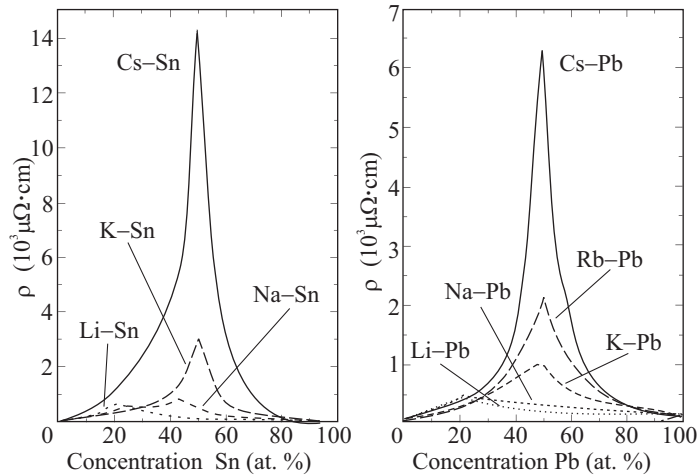


FIG. 5: Survey diagrams of the resistivity vs. concentration dependence for melts of Sn-B and Pb-B systems (B is an alkali metal). The resistivity of alloys with Li and the Pb-Na alloy has a maximum at  $C_A=20\%$  ( $A=\text{Pb}, \text{Sn}$ ); the resistivity of the Sn-Na alloy has two maxima at  $C_{\text{Sn}} \approx 25\%$  and  $45\%$ ; the rest have a resistivity maximum at  $C_A=50\%$  [10].

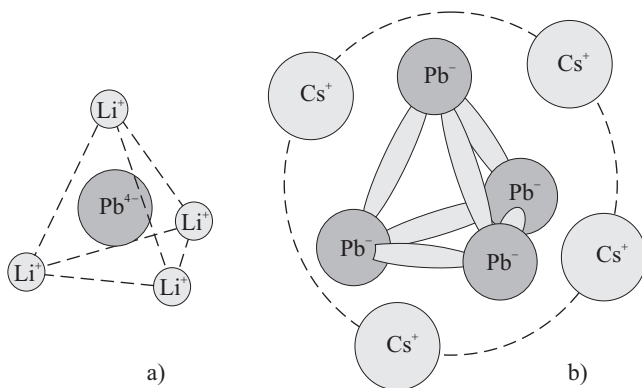


FIG. 6: (a) Ionic configurations from Pb and Sn atoms and a light alkali metal Li or Na; (b) the same with a heavy alkali metal K, Rb, or Cs.

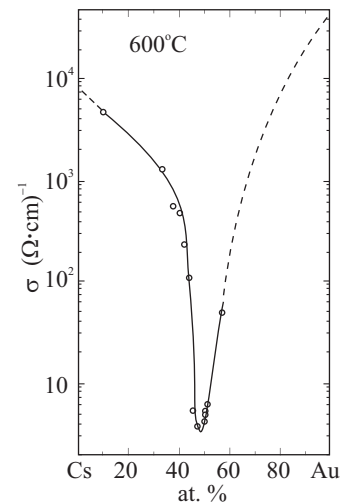


FIG. 7: Conductivity of melts of the Cs-Au system at 600°C [5, 12]

tetrahedral molecules  $P_4$  or  $As_4$ . Here there are eight electrons near each atom: five electrons belonging to the atom proper and one electron from the covalent bonds with each of the three neighbors in the tetrahedron.  $Pb^{1-}$  ions also form such tetrahedrons, and the total electric charge  $-4e$  of such a tetrahedron is balanced by the electric charge of the four alkali-metal ions surrounding it.  $Sn^{1-}$  ions form similar tetrahedrons  $(Sn_4)^{4-}$  surrounded by four alkali ions. It is the structural unit

$$A_4B_4, \quad A = Pb, Sn, \quad B = K, Pb, Cs \quad (8)$$

that is the configuration within which 20 valent electrons are locked (Fig. 6b).

Binary melts consisting of alkali metals and some other metals behave in a similar manner. The absolute champion when it comes to forming effective electron traps is the isoatomic melt of two ideal metals, the alkali metal Cs and the noble metal Au. As Fig. 7 shows, the formation of compounds in the melt reduces the conductivity by a factor of 10000 [12]. Here the conductivity is comparable to that of salt melts ( $3 \Omega^{-1} \cdot cm^{-1}$  for CsAu and  $1 \Omega^{-1} \cdot cm^{-1}$  for the CsCl salt melt).

### III. THE MODEL WITH STRUCTURAL DISORDER

Quantum chemistry and chemical thermodynamics have the tools that are needed to answer the questions of where, when, and how many Zintl configurations can form in a metallic melt and what are the binding energies of these configurations. Since melts, by definition, exist at high temperatures, the curves in Figs. 4 and 7 should not be considered as demonstration of metal-insulator transitions. To bring these materials into the realm of objects described by the theory of metal-insulator transitions, they should be quenched into glass. Then, for example, the low-temperature dependence of the transport characteristics can, probably, be used to determine the quantitative parameters of the electron traps. So far nothing is known of any attempts to quench such melts with a view to investigating their low-temperature properties.

At the same it is true that what occurs when component concentrations are stoichiometric is undoubtedly localization. Therefore, when discussing the results of experiments in this area of research, it seems appropriate to use the concepts and models developed for a description of metal-insulator transitions.

Earlier we mentioned the most common model of regularly arranged potential wells of different depths, or the Anderson model (Fig. 8). Each well is formed because of the potential of one or several atoms. For the levels in the wells to form a continuous band, the wells must be arranged against a background of smooth random fields, say, the electric fields of charged acceptors or donors with partial compensation of impurities (see Chap. 3 in Ref. [13]). Such broadening of a level into a band may be called classical.

The alternative of the Anderson model for the description of a transition in a system of noninteracting electrons that is driven by a change of disorder is the model with structural disorder. Here the random potential is built from

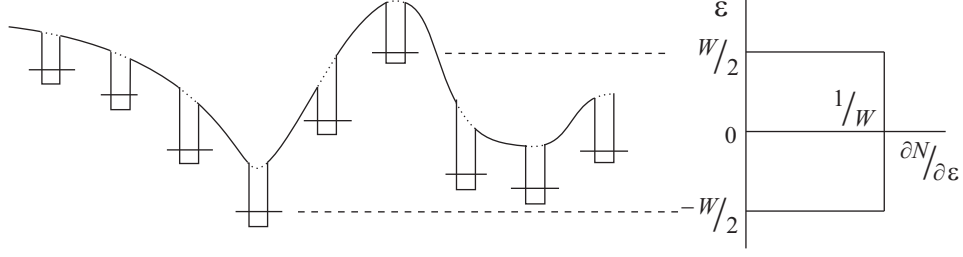


FIG. 8: The Anderson model: periodically arranged wells against random smooth potential (to the right is the model density of states).

identical but randomly distributed wells each of which contains a level  $E_0$

$$V(\mathbf{r}) = \sum_{\mathbf{R}_i} v(\mathbf{r} - \mathbf{R}_i), \quad (9)$$

with the disorder determined by the randomness of the set of vectors  $\mathbf{R}_i$ . The model contains no classical random fields. Despite the fact that all the wells are identical, the level  $E_0$  in this model also broadens into a band. The broadening is caused by the quantum interaction of the wells due to the overlap of their wave functions [14].

We now break down all the pairs into nearest neighbors. If the distance between the wells in such a pair is  $r_{12}$ , then, since the wells are resonant, i.e. the unperturbed values of the level energy are the same, the overlap of the tails of the wave functions splits the levels into two levels with energies

$$E = E_0 \pm \varepsilon_{1,2}, \quad \varepsilon_{1,2} = J_0 \frac{\exp(-r_{12}/\lambda)}{r_{12}}. \quad (10)$$

They have collectivized wave functions

$$\psi_{1,2} = \frac{1}{\sqrt{2}}(\varphi_1 \pm \varphi_2), \quad (11)$$

expressed in terms of the unperturbed wave functions  $\varphi_1$  and  $\varphi_2$ .

The constants  $J_0$  and  $\lambda$  contain the specific characteristics of the wells, the dielectric constant of the material, the effective electron mass, etc. Due to the splitting (10), both levels  $\varepsilon_{1,2}$  are no longer resonant with respect to other neighboring levels, and their interaction with such levels leads to essentially smaller energy shifts

$$\Delta\epsilon \sim \exp(-2r_{s,t}/\lambda) \quad (s \text{ или } t \neq 1, 2). \quad (12)$$

Figure 9, where the resonant pairs are designated by dashed ellipses, shows that not all centers belong to resonant pairs. For instance, well 2, being the nearest neighbor of well 3, may have well 1 as its nearest neighbor, so that  $r_{12} < r_{23}$ . Within this triplet, the resonant shifts  $\varepsilon_1$  and  $\varepsilon_2$  are the biggest, while the shift  $\varepsilon_3$  is nonresonant and much

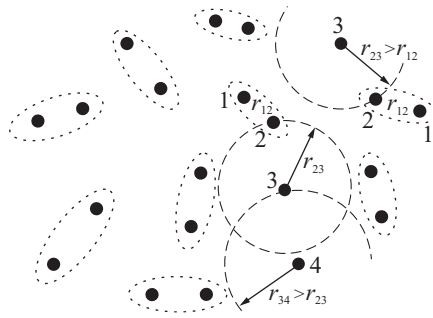


FIG. 9: Random arrangement of the potential wells. Pairs of nearest neighbors are designated by dashed ellipses. Centers labelled 3 adjacent to pairs whose wells are labelled 1 and 2 form triplets with these pairs. One of the triplets enters into a quadruplet.

smaller, since  $\varepsilon_3 \propto \exp(-2r_{23}/\lambda)$ . Two such configurations have been depicted in Fig. 9, a triplet and a quadruplet, the latter with  $r_{12} < r_{23} < r_{34}$ . But in triplets and more complicated configurations consisting of four and more wells there is always at least one resonant pair with the smallest well separation and the biggest level shift [14]. Since the characteristic width  $\Delta$  of the resulting density of states is determined by the resonant well pairs and the average well separation is  $n^{-1/3}$ , from (10) we obtain

$$\Delta \approx J_0 n^{1/3} \exp(-n^{-1/3}/\lambda). \quad (13)$$

The tail of the density of states in the region  $|\varepsilon| \gg \Delta$  emerges due to the pairs of anomalously close wells with  $r_{12} \ll n^{-1/3}$ , while states with small  $|\varepsilon| \ll \Delta$  emerge due to nonresonant and single wells ([14]; see also Chap. 2 in Ref. [13]). Formula (13) clearly shows that the ratio of the decay length  $\lambda$  to the average distance  $n^{-1/3}$  between wells is the main parameter in the structural disorder model, just as it is in the Anderson model. (Note: In the metallic limit  $\lambda n^{1/3} \gg 1$ , where all the electrons are delocalized (i.e. collectivized) and the potential (9) is screened and is only a scattering source, this potential is used in the diffraction theory of electron transport in liquid metals (see Ref. [15]).)

The metal-insulator transition in the region where

$$n^{1/3}\lambda \sim 1 \quad (14)$$

has been studied theoretically much less thoroughly in the model with structural disorder than in the Anderson model and, apparently, only numerically (e.g. see Ref. [16]). Still, in this model such a transition undoubtedly exists. Since initially all the ionic traps, or Zintl configurations, are identical, the model with structural disorder seems to be more appropriate for describing them. Each configuration  $A_4B_4$  from (8) is an almost spherical well for the  $4 \cdot 4 + 4 = 20$  valence electrons located inside it on a sequence of energy levels [17]. The decay length  $\lambda$  in (14) actually refers to the uppermost occupied level. The electrons on deeper levels do not leave the well. This reduces by a factor of 10 the concentration of potentially delocalizable electrons and facilitates the metal-insulator transition.

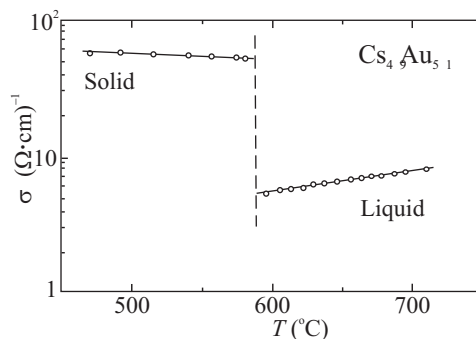


FIG. 10: Temperature dependence of the conductivity of the CsAu alloy with 51% of Au in the liquid and solid states [5, 7].

Thus, the number of electrons and the level structure in an ionic trap determine by how much the concentration  $n$  in the parameter (14) of the model with structural disorder is reduced, while the shape of the well  $v(\mathbf{r} - \mathbf{R}_i)$  determines  $\lambda$ . Another control parameter in this model is the magnitude of correlations on the set of vectors  $\mathbf{R}_i$ : by strengthening the correlations one can change this set from random to regular. The result is shown in Fig. 10, which depicts the change in conductivity of an almost stoichiometric alloy CsAu under crystallization [5, 7]. Here the majority of the wells become resonant and have identical and identically located neighbors. The randomness in the location of the wells is partially retained only to the extent to which the alloy is nonstoichiometric and due to the presence of crystal defects and intercrystalline boundaries. The result of the increase in the number of resonant wells is partial delocalization and a tenfold increase in the conductivity of the crystal compared to that of the melt. However, as Fig. 10 clearly shows, the conductivity of crystalline CsAu is still about 50 times lower than the maximum conductivity  $1/\rho^*$  of a standard metal (equation (7)). It remains unclear to what extent and how the conductivity depends on deviations from stoichiometry, the number of defects, temperature, and other factors.

#### IV. QUASICRYSTALS

Introducing translational symmetry is not the only way to establish long-range correlations on the set of vectors  $\mathbf{R}_i$ . Another way to achieve the same result is to see to it that quasicrystalline long-range order sets in [18].

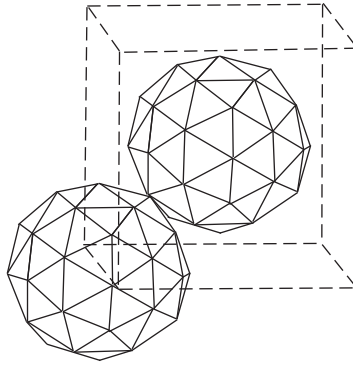


FIG. 11: A crystal packing of Mackey icosahedrons, closely resembling a body-centered cubic packing, in the crystal alloy  $\alpha(\text{AlMnSi})$ , a quasicrystal approximant [19]. Each icosahedron consists of more than 50 atoms.

Translational symmetry, always present in crystals, allows for the existence of axes of 2-, 3-, 4-, and 6-fold symmetry only. At the same time, it is easy to imagine that of all possible local configurations of a small number of atoms,  $A_nB_mC_p$ , of the chemical elements A, B, and C the configuration with the lowest energy has a different symmetry axis, say the axis of 5-fold symmetry. Formation of a crystal from a material with the composition  $A_nB_mC_p$  then becomes a problem. Sometimes optimal local symmetry is sacrificed, so that a crystal with a different configuration of the nearest neighbors of each atom is formed, with the loss in local configurations energy balanced by gain caused by translational symmetry. There is, however, another possibility. Let us arrange optimal configurations of  $n + m + p$  atoms at the sites of a crystal lattice, say, a body-centered cube, as in Fig. 11. Then the loss in energy emerges caused by mismatch and distortions in the places where these configurations meet, where the short-range order is sure to be nonoptimal. Nevertheless, some substances have such crystal structures. They are called crystal approximants or crystal prototypes of quasicrystals.

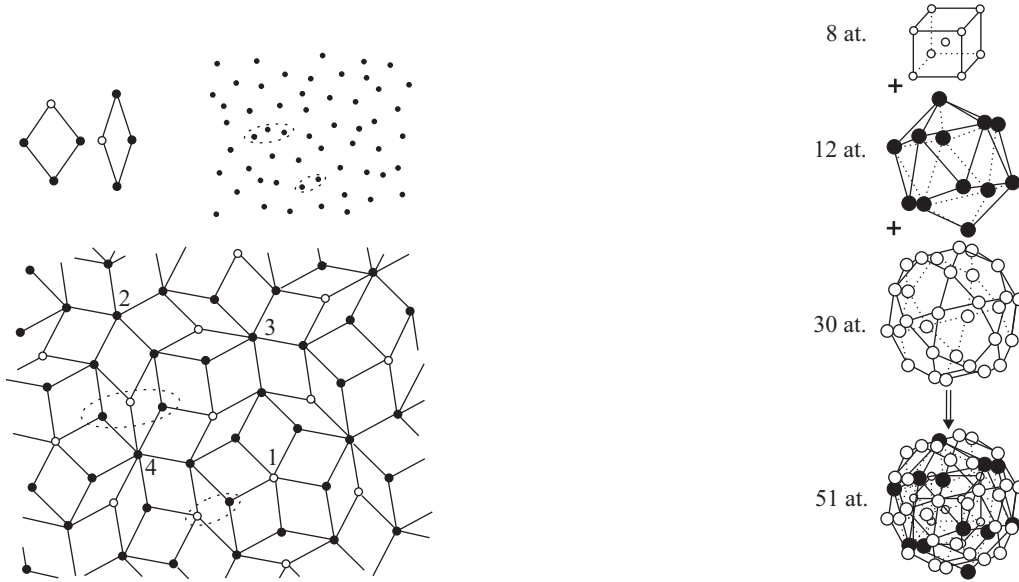


FIG. 12: Penrose tiling. Below: tiling a plane without gaps or overlaps by two types of rhombic tiles depicted in the upper left corner of the figure, rhombuses with equal sides  $a$  and acute angles  $2\pi/5$  and  $\pi/5$ , respectively (the vertices marked by open circles adjoin each other in the tiling). Upper right corner: the set of rhombus vertices of the tiling depicted in the lower part of the figure to the 1:2 scale. Although each of the sites 1, 2, 3, and 4 has its nearest neighbors only at a distance  $a$ , the quality of these neighbors differ considerably (see the main text). The dotted closed curves mark a resonant pair of closely located sites and a compact triplet of sites.

FIG. 13: A sequence of shells consisting of atoms in a Mackey pseudoicosahedron, which is the base element of the structure of the Al-Pd-Mn quasicrystal [20]; the total number of atoms is 51.



It occurs, however, that we can do entirely without translational symmetry by densely packing the space with optimal configurations. That this is possible, at least theoretically, is demonstrated by the Penrose tiling in the lower part of Fig. 12; the plane is covered perfectly (i.e. without gaps and overlaps) by rhombic tiles of two types, with the acute angles equaling  $2\pi/5$  and  $\pi/5$ . Single tiles are depicted in the upper left corner of the same figure. Since the rhombuses adjoin each other at preassigned vertices, the correctly specified functions  $F(\mathbf{r})$  on the rhombuses remain continuous at the junctions and form a continuous aperiodic function whose separate segments are repeated in the plane an infinite number of times. In the upper right corner of Fig. 12 the set of the vertices of the rhombuses are depicted to the 1:2 scale. Since there is no translational symmetry, it is rather difficult to notice any correlations in this arrangement. However, there is long-range order in this system: the rhombic tiles are arranged on the plane in a well-distinguishable pattern (although the pattern is not unique).

Quasicrystals are built according to the same principles. Optimal configurations with high-order symmetry axes are separated by matching configurations-spacers that minimize energy losses at the junctions. The resulting arrangement has no translational symmetry but does not have long-range order. Many families of such materials are known today. Most of them are metal alloys in the sense that they consist only of metal atoms: Al-Mn, Ga-Mg-Zn, Al-Cu-Fe, Al-Pd-Re, etc. Here the local base configurations may be extremely complex. For instance, in quasicrystals with the Al-Pd-Mn composition one of the local base configurations consists of three sells inserted into each other [20]; altogether there are 51 atoms in this configuration (Fig. 13).

Basically, quasicrystals are identified and studied by the X-ray diffraction method. The Fourier transform of any function of coordinates in a perfect crystal, e.g. the density  $\varrho(\mathbf{r})$ , is a sum of an infinite number of narrow peaks (ideally,  $\delta$ -functions):

$$\varrho(\mathbf{r}) = \sum_{\mathbf{q}} \varrho_{\mathbf{q}} \exp(i\mathbf{q}\mathbf{r}). \quad (15)$$

The set of vectors  $\mathbf{q}$  form a lattice in the  $q$ -space with the same symmetry as the initial lattice of atoms. To each site of this reciprocal lattice there corresponds a Bragg reflection in the Laue diffraction pattern. The more perfect the crystal the shaper the reflections.

Bragg reflections are not an exceptional property of crystals. As the Fourier transform we can initially select the series (15) in which the set of vectors  $\mathbf{q}$  does not possess translational symmetry. By an inverse Fourier transformation we arrive at a function  $\varrho(\mathbf{r})$  that has no translational symmetry either. Such series are the Fourier transforms of quasicrystals. Here the width of the Bragg reflections is still determined by the imperfectness of the structure, namely, by deviation of the local configurations from the ideal configuration, failure of long-range order because of impurities and vacancies, etc. The sharper the Bragg reflections the closer the quasicrystal is to a perfect one.

The following correlation is typical for metallic single crystals: the higher the quality of the Laue diffraction pattern of a certain substance, the lower the residual resistivity  $\rho$  of the crystals. The correlation reflects the wave nature of electrons: the better the conditions for the propagation of an X-ray wave, the smaller the scattering of the Bloch wave. In quasicrystals it is just the opposite: annealing, while increasing the quality of the Laue diffraction pattern, also increases the resistivity. Here the very values of resistivity are extremely high [18]. For instance, in quasicrystals of the Al-Cu-Ru composition at 4 K the resistivity values are as high as  $30\text{ m}\Omega\cdot\text{cm}$ , which is approximately a 100 times higher than the value of  $\rho^*$  estimated by equation (5) from the concentration  $n$  of the metallic valence electrons.

The properties of an insulator manifest themselves most vividly for the Al-Pd-Re system, where the resistivity values at 4 K are stably of order  $200 \div 300\text{ m}\Omega\cdot\text{cm}$  [18]. Ingots of this alloy can be made by arc melting a mixture of extremely pure Al, Pd, and Re in an atmosphere of pure argon. After being annealed in vacuum for 24 hours at  $980^\circ\text{C}$  the alloy becomes an icosahedral quasicrystal. However, even after this it remains sensitive to low-temperature annealing at  $600^\circ\text{C}$ . Such annealing in the course one to two hours may double or even triple the resistivity at 4 K, with the quality of the Laue diffraction pattern remaining the same or even growing.

The temperature dependence of the resistivity of  $\text{Al}_{70}\text{Pd}_{22.5}\text{Re}_{7.5}$  quasicrystals can be described in exact agreement with the existing theoretical scheme used to describe conduction in the vicinity of metal-insulator transitions in ordinary media. Figure 14 depicts the conductivity as a function of  $T^{1/3}$  (the four lower curves) or  $T^{1/2}$  (the three upper curves) [21, 22]. To distinguish between the various samples and between the various states of a single sample obtained in the low-temperature annealing process, we select the value  $\sigma_{10}$  of conductivity at 10 K as a parameter (the scales along the horizontal axes in Fig. 14 have been selected in such a way that at this temperature the two scales coincide, as they also do at  $T = 0$ ).

Figure 14 clearly shows that for all measured functions  $\sigma(T)$  a linear extrapolation on the selected scales makes it possible to determine  $\sigma(0)$  (cf. Ref. [23]). For the tree upper states with  $\sigma(0) \gtrsim 6(\Omega\text{cm})^{-1}$  we can assume that

$$\Delta\sigma \simeq \sigma_{10} - \sigma(0) \lesssim \sigma(0). \quad (16)$$

This makes it possible to assume that the temperature-dependent part of the conductivity is a quantum correction [24], and this is why the function  $\sigma(T)$  looks as a straight line in the  $(T^{1/2}, \sigma)$  plane. For the four lower states with

$\sigma_{10} \lesssim 12 \div 14 (\Omega\text{cm})^{-1}$  we have the opposite of (16). This means that these states are in the critical vicinity of the metal–insulator transition. Hence, when built in the  $(T^{1/3}, \sigma)$  plane, the function  $\sigma(T)$  is represented by a straight line [24, 25]:

$$\Delta\sigma \equiv \sigma(T) - \sigma(0) \propto T^{1/3}. \quad (17)$$

The function  $\sigma(T)$  in the critical region in the vicinity of the metal–insulator transition should evolve in this manner (e.g. see Ref. [26]).

Correspondence to ordinary behavior is retained in states with higher resistivity values. The extrapolation we have described implies that the metal–insulator transition occurs at the state with  $\sigma(10\text{ K}) \equiv \sigma_{10} \simeq 9 (\Omega\text{cm})^{-1}$ . For states with smaller values of  $\sigma_{10}$  low-temperature transport is realized through the hopping conduction mechanism. That this is actually the case is illustrated by Fig. 15 taken from Ref. [27]. The diagram shows that the conductivity of high-resistance  $\text{Al}_{70}\text{Pd}_{22.5}\text{Re}_{7.5}$  quasicrystals obeys Mott's law

$$\ln \sigma \sim T^{-1/4}. \quad (18)$$

Such temperature dependence implies that near the Fermi level the density of states of the electronic spectrum has a constant, finite value.

Thus, everything that happens with  $\text{Al}_{70}\text{Pd}_{22.5}\text{Re}_{7.5}$  quasicrystals under low-temperature annealing, which improves conditions for the propagation of electromagnetic wave packets, fully corresponds to the pattern of metal–insulator transitions as the parameter  $n^{1/3}\lambda$  decreases.

Although the tendency of the resistivity to increase as the Bragg reflections get narrower is a characteristic feature of many families of quasicrystals, so far the metal–insulator transition has been observed only in the Al–Pd–Re. The maximum resistivity values at 4 K for the Al–Cu–Fe, Al–Cu–Ru, and Al–Cu–Mn systems are smaller than the value for Al–Pd–Re by factor of 10 to 100. Note that even these values are higher than  $\rho^*$  calculated by (7) by a factor of 10 to 100.

Let us try to understand how the insulator  $\text{Al}_{70}\text{Pd}_{22.5}\text{Re}_{7.5}$  is organized. The  $\text{Al}_{70}\text{Pd}_{22}\text{Mn}_8$  structure is the most thoroughly studied one and differs from  $\text{Al}_{70}\text{Pd}_{22.5}\text{Re}_{7.5}$  in only one aspect, i.e. the isovalence Re is replaced by Mn. The quantitative characteristics of these quasicrystals can be assumed to be the same.

Thus, the structure of the  $\text{Al}_{70}\text{Pd}_{22}\text{Mn}_8$  and  $\text{Al}_{70}\text{Pd}_{22.5}\text{Re}_{7.5}$  quasicrystals is based on high-symmetry, close to spherical configurations consisting of 51 atoms (see Fig. 13). According to diffraction data, the number density of the atoms in these substances is close to  $6 \cdot 10^{22} \text{cm}^{-3}$ . Since the atoms of the transition elements ‘grab’ some of the three

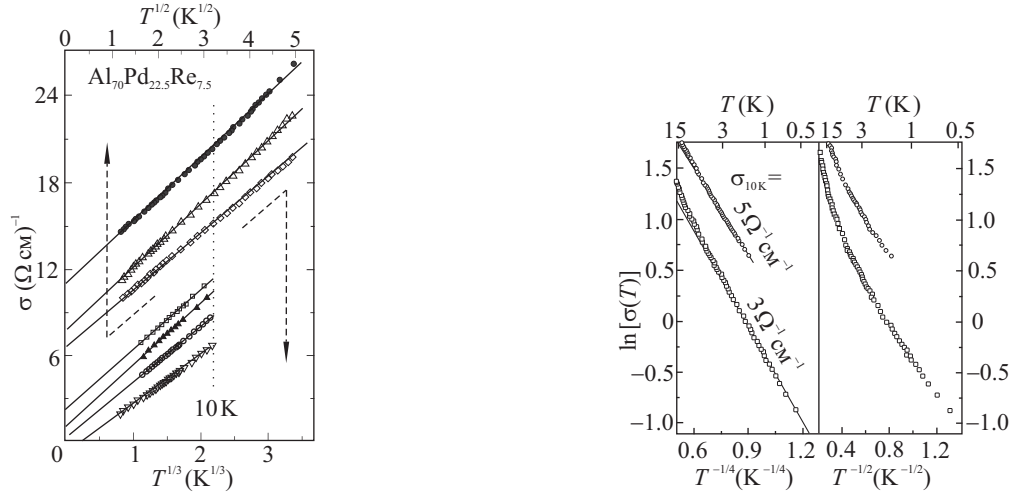


FIG. 14: Temperature dependence of the conductivity of the  $\text{Al}_{70}\text{Pd}_{22.5}\text{Re}_{7.5}$  quasicrystal. In the immediate vicinity of the metal–insulator transition, the dependence, when represented by a function of  $T^{1/3}$ , is a straight line (the four lower states). In the bulk of the metallic region, the dependence becomes a straight line when represented by a function of  $T^{1/2}$  (the three upper states). The states can be labelled by the magnitude of the conductivity  $\sigma_{10}$  at 10 K. (The data has been taken from Ref. [21])

FIG. 15: Mott's law for the conductivity of  $\text{Al}_{70}\text{Pd}_{22.5}\text{Re}_{7.5}$  quasicrystals in the insulator region (the conductivities at 10 K are 5 and 3  $(\Omega\text{cm})^{-1}$ ). The dependence becomes a straight line only when  $\ln \sigma$  is plotted as a function of  $T^{-1/4}$  [27].

valence electrons of aluminum, the number of the remaining ‘potentially metallic’ electrons is somewhat smaller than two per atom, i.e. about  $10^{23}\text{cm}^{-3}$ . For a substance with such a huge electron concentration to be an insulator, the electrons must reside in deep potential wells, or traps. In intermetallic binary melts the traps are configurations of type (8), while in quasicrystals they are the high-symmetry configuration of Fig. 13, which have levels for about 90 of the former valence electrons [20]. Under favorable condition only one to two electron from the upper levels may leave a trap. Hence initially the electron configuration is reduced by a factor of 100, after which the more or less standard models describing the metal–insulator transition can be employed.

The arrangement of the levels in all atomic configurations that are traps is, in the zeroth approximation, the same. If the configurations were arranged periodically, the levels would become (in accordance with band theory) bands and the electrons from the upper levels could become delocalized. However, in a quasicrystal there are many ways in which the neighboring configurations can be arranged in relation to a given configuration. According to the model with structural disorder, each variant of the surroundings corresponds to a specific shift of the levels in the given configuration. Let us explain this using Penrose tiling as an example, for which we turn to Fig. 12. The distance between a given site and a neighboring site can be equal to the length  $a$  of the rhombus side or to the length of the smaller diagonal of the narrow rhombus,  $a_1 = 0.62a$ , or to the length of the smaller diagonal of the wide rhombus,  $a_2 = 1.18a$ . However, the number of variants of the surroundings, which determine the shift of the level of a specific site, is very large. For instance, sites with close neighbors at distance  $a_1$  may form resonant pairs or triplets. Sites 1 and 2 each have five neighbors at a distance  $a$ , but all five neighbors of site 1 enter into resonant pairs or compact triplets with pairwise distances  $a_1 < a$ , while site 2 has no such neighbors; site 3 has six neighbors at a distance  $a$ , but three of these neighbors form a compact triplet; site 4 has seven neighbors at a distance  $a$ , but six of these neighbors form two compact triplets; etc. As a result, a single level, which initially was the same for all configurations (sites), becomes a band. Whether or not the states in this band are localized depends on the parameter (14), where the  $\lambda$  is the decay length of the wave function outside the configuration well.

The very fact that the conductivity of  $\text{Al}_{70}\text{Pd}_{22.5}\text{Re}_{7.5}$  is so small is, apparently, caused by the specific combination of the parameters of the configuration well, which makes the decay length  $\lambda$  smaller than in other quasicrystals. In the event of low-temperature annealing of  $\text{Al}_{70}\text{Pd}_{22.5}\text{Re}_{7.5}$ , the configuration wells in the quasicrystal undergo ‘internally repair’ accompanied by a decrease in the leakage of the wave function from the well, i.e. a decrease in the effective decay length  $\lambda$ .

## V. CONCLUSION

Processes that form the electronic spectrum in two-component melts with an alkali metal as one of the components and in quasicrystals have proved to be very similar. In such systems the effective carrier concentration decreases and the screening weakens while material structuring, which makes an ordinary metal–insulator transition possible.

The overall scheme is as follows. Suppose that each configuration contains  $N$  valence electrons. The potential produced by the ion cores of the atoms in a configuration is so strong, i.e. the potential well is so deep, that the electronic spectrum of these  $N$  electrons becomes radically transformed so that the electrons occupy ‘positions’ on a ladder of levels. Only one or two electrons on the upper levels have a chance of leaving the well. As a result, the concentration of ‘potentially delocalizable’ electrons becomes of order  $n/N$ , where  $n$  is the concentration of the ‘initially metallic’ valence electrons. In two-component melts,  $N$  is of order 10, while in quasicrystals it is of order 100. Thus, the metal–insulator transition occurs in a system with a reduced carrier concentration.

The same line of reasoning can be formulated differently if we imagine each configuration as being a quantum dot in 3D space. The concentration of such dots is of order  $n/N$ , with each dot containing  $N$  electrons. When one electron leaves a quantum dot, the dot’s charge increases by  $e$ , and this requires energy of order

$$\varepsilon_e \approx e^2/r, \quad (19)$$

where  $r$  is the radius of the quantum dot. This quantity is similar to the Hubbard energy in the theory of Mott’s transitions [2]. At the same time,  $e^2/r$  is the Coulomb energy of an isolated metal sphere of radius  $r$  carrying charge  $e$  or the energy of the capacitor that appears in the theory of the Coulomb blockade in nanostructures. On the metal side of the metal–insulator transition, the electric field of a charged dot is screened and the energy (19) is insignificant. On the insulator side there is no screening of free carriers, and the number of charged dots is determined by comparing the energy (19) with the temperature. When  $\varepsilon_e \ll T$ , the number  $\nu$  of charged dots is exponentially small:

$$\nu = (n/N) \exp(-\varepsilon_e/T). \quad (20)$$

Since conduction in these conditions is determined by tunnelling between charged and uncharged dots,  $\nu$  acts as the number of carriers. This standard line of reasoning used to describe granulated metals [28] determines the activation nature of the conduction.

The importance of replacing  $n$  with  $n/N$  can be illustrated by the fact that when an amorphous alloy is transformed by annealing into a quasicrystal, its resistivity often increases severalfold [18]. Localization is also substantially enhanced by the absence of translational symmetry and of universal short-range order in the mutual arrangement of configurations. Irregularities in this mutual arrangement prevents resonant tunnelling. Of course, the presence of translational symmetry by itself cannot guarantee metallic conduction. Is is obvious from Fig. 1, where a Mott transition is possible even at  $W = 0$ . Near the limiting values of concentrations,  $n \gtrsim n_{\text{Mott}}$ , disorder is essential. Indeed, when the CsAu alloy crystallizes, its resistivity decreases by a factor of 10 (see Fig. 10).

Thus, the two classes of condensed media briefly discussed in this paper provide an affirmative answer to the question posed at the end of the Introduction: *It is possible to localize a system of valence electrons in a medium consisting only of metal atoms.* Such localization is realized through the formation of molecule-like configurations at least in two cases: in two-component melts with an alkali metal as one of the components, and in quasicrystals.

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